| Compliance Areas | Responsible Senior Administrator | Responsible Functional Office/Officer |
| --- | --- | --- |
| Animal Research | Senior Vice President for Research  (Dr. Michael Diamond) | Chair, Institutional Animal Care and Use Committee  (Dr. Ruth Harris) [IACUC@augusta.edu](mailto:IACUC@augusta.edu) |
| Biomedical Research Compliance | Senior Vice President for Research  (Dr. Michael Diamond) | Chair, Institutional Biosafety Committee  (Dr. Stuart Thompson)  [biosafety@augusta.edu](mailto:biosafety@augusta.edu) |
| Clinical Affairs | EVP of Medical Affairs & Integration |  |
| Comprehensive Loss Control | VP Legal Affairs and General Counsel (Chris Melcher)  &  Executive Vice President of Finance / CBO (Yvonne Turner) | Senior Legal Advisor  ( Greg Bryan)  &  Director, University Supply Management  (Gregory Woodlief) |
|  |
| Conflicts of Interest: Faculty/Staff | Vice President, Audit, Compliance, Ethics & Risk Management (“ACERM”)  (Clay Sprouse) | Office of Compliance and Enterprise Risk Management ([conflicts@augusta.edu](mailto:conflicts@augusta.edu)) |
| Conflicts of Commitment/Outside Professional Activities | Vice President for Human Resources  (Rebecca Carroll) | Director for Employee Relations & Org Development (Dr. Debra Arnold) |
| Contracts and Agreements | VP Legal Affairs and General Counsel (Chris Melcher) | Respective Signatory Authority or Designee |
| Cooperative Organizations (MCGHI, Foundations, etc.) | President  ( Dr. Brooks Keel ) | VP Legal Affairs and General Counsel (Chris Melcher) |
| Disaster Preparedness/Emergency Management | Director of Office of Critical Event Preparedness and Response  (Joe Webber) | Emergency Management Program Coordinator |
| Discrimination - Employees (sexual harassment, ADA, EEOC/AA, etc.) | Vice President for Human Resources  (Rebecca Carroll) | Employee  AA/EEO Officer  (Steve Goldberg ) |
| Discrimination - Students (sexual harassment, ADA, EEOC/AA, etc.) | Executive Vice President for Academic Affairs & Provost (Neil J. MacKinnon) | Vice President for Enrollment & Student Affairs (Susan Davies) |
| Body Donations | Coordinator of the Anatomical Donation Program  (David Adams) | cba@augusta.edu |
| Environmental Health and Safety | Vice President, ACERM (Clay Sprouse) | Associate Vice President, Environmental Health & Safety  (Phil Young) |
| Export Control | Senior Vice President for Research  (Dr. Michael Diamond) | Director, Research Ethics and Compliance  (Laura Meyer Chapman) |
| Facilities  Property Management | Vice President, Facilities, (Ronald Booth) | Associate VP for Facilities Services (Chris Miller) |
| False Claims Act | Vice President, ACERM (Clay Sprouse) |  |
| Financial Operations  (including IRS and GA Tax)  Securities and Exchanges | Executive Vice President of Finance  (Yvonne Turner) | VP for Finance/Controller |
| Associate VP for Budget, Planning & Analysis |
| Associate Vice President for Government Relations  (Margie Miller) |
| Fraud, Waste, and Abuse | Vice President, ACERM (Clay Sprouse) | Director, Office of Internal Audit |
| HIPAA/Privacy/HITECH/FERPA | Vice President, ACERM (Clay Sprouse) | Enterprise Privacy Officer  (Micki Jernigan) |
| Government Audits, Investigations, and Reporting Requirements | Vice President, ACERM (Clay Sprouse) | Director, Office of Internal Audit |
| Human Research Protection | Senior Vice President for Research  (Dr. Michael Diamond) | Director, Human Research Protections (Ivy Tillman, MSM, CCRC, CIP) |
| Human Resources  Workman’s Compensation | Vice President for Human Resources  (Rebecca Carroll) | Director for HR Service Center (Morgan Chavous) |
| Immigration and International  Global Operations | Executive Vice President for Academic Affairs & Provost (Neil J. MacKinnon) | Post Docs/Faculty  Director of IPSO & Assistant VP of International Initiatives (LD Newman) |
| Students  Chief Diversity Officer  (Tiffany Townsend) |
| Staff  Vice President for Human Resources  (Rebecca Carroll) |
| Information Technology & Security | VP for Information Technology  (Michael Casdorph) | Chief Information Security Officer  (Heather Roszkowski) |
| Laboratory Animal Services | Senior Vice President for Research  (Dr. Michael Diamond) | Director, Division of Lab Animal Services  (Doug Taylor, DVM) |
| Land Use and Investment Property |  |  |
| Labor and Employment Issues: | Vice President for Human Resources  (Rebecca Carroll) | Director of Employee Relations (Debra Arnold) |
| Supply | Executive Vice President of Finance / CBO (Yvonne Turner) | Director, University Supply Management  (Gregory Woodlief) |
| NCAA and Sports Compliance | Director of Athletics  (Clint Bryant) | Assistant Director of Athletics for Compliance  (Christina Whetsel) |
| Occupational Health (for animal researchers) | Senior Vice President for Research  (Dr. Michael Diamond) | Associate Vice President, Environmental Health & Safety  (Phil Young) |
| Personnel - Faculty | Executive Vice President for Academic Affairs & Provost (Neil J. MacKinnon) | Vice President for Human Resources  (Rebecca Carroll)  Associate Provost for Faculty Affairs  (Kathy Browder) |
| Personnel - Non Faculty | Executive Vice President of Finance / CBO (Yvonne Turner) | Vice President for Human Resources  (Rebecca Carroll) |
| Records Retention & Disclosure  (exclude student records) | VP Legal Affairs and General Counsel (Chris Melcher) | VP Legal Affairs and General Counsel (Chris Melcher) |
| Residents & Fellows (excluding Post Docs) | Dean, School of Medicine (Dr. David Hess) | Senior Associate Dean, GME  Designated Institutional Official (Dr. Natasha Savage) |
| Dean, School of Dentistry (Carol Lefebvre) | SOD-Associate Dean for Academic Affairs & Advanced Education |
| Retaliation | Vice President for Human Resources  (Rebecca Carroll) | Director for Employee Relations & Org Development (Dr. Debra Arnold)    AA/EEO Officer  (Steve Goldberg )  Compliance Analyst  (Ben Hutton) |
| Safety & Security  (CLERY Act) Campus Crime Statistics | AVP & Chief of Public Safety and Police | AUPD - Administrative Captain |
| Scientific (Research) Misconduct | Senior Vice President for Research  (Dr. Michael Diamond) | Director, Research Ethics & Compliance  (Laura Meyer Chapman) |
| Student Affairs  (Student Records) | Executive Vice President for Academic Affairs & Provost (Neil J. MacKinnon) | Registrar  (Heather Metress)  Director for  Financial Aid/  (Debra Turner) |
| Student Financial Aid | Executive Vice President for Academic Affairs & Provost (Neil J. MacKinnon) | Director for  Financial Aid/  (Debra Turner) |
| Technology Transfer/Intellectual Property | Senior Vice President for Research  (Dr. Michael Diamond) | Associate VP for Office of  Innovation Commercialization  (Chris McKinney) |
| Title IX | Vice President, ACERM (Clay Sprouse) | Title IX Coordinator  (Julie Kneuker) |